

✓ *AA* Sector #4

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on June 20, 2001


Attorney for Applicant(s)



PATENT
Docket No. 4000.2.11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mai-lan Tomsen et al.)
Serial No.: 09/761,411)
Filed: January 16, 2001)
For: SYSTEM AND METHOD FOR PRE-CACHING)
SUPPLEMENTAL CONTENT RELATED TO A)
TELEVISION BROADCAST USING UNPROMPTED,)
CONTEXT-SENSITIVE QUERYING)

TRANSMITTAL OF RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Assistant Commissioner
for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed May 2, 2001, enclosed please find the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Mai-lan

Tomsen, Armando P. Stettner, and Martin L. Behrens;

- (2) a Fee Transmittal;
- (3) a Credit Card Payment Form in the amount of \$1,560 to cover the filing fee for the above-identified application and the surcharge fee pursuant to 37 C.F.R. § 1.16(e).
- (4) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;

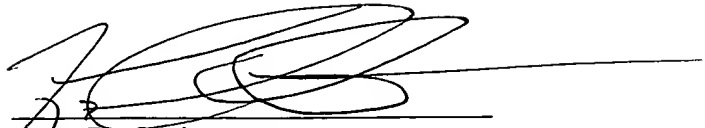
Please debit any additional fees, or credit any over payment, to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this application to the attention of the undersigned.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Kory D. Christensen', written over a horizontal line.

Kory D. Christensen
Reg. No.43,548
Attorney for Applicants

Date: June 20, 2001

MADSON & METCALF
900 Gateway Tower West
15 West South Temple
Salt Lake City, Utah 84101
Telephone: 801/537-1700

JUN 25 2001
PATENT & TRADEMARK OFFICE

#4

<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <p style="text-align: center;"><i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See forms PTO/SB/09=12. See 37 C.F.R. §§ 1.27 and 1.28</i></p>		Complete If Known	
		Application Number	09/761,411
		Filing Date	January 16, 2001
		First Named Inventor	Mai-lan Tomsen
		Group Art Unit	
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	1560	Attorney Docket Number	4000.2.11

Complete If Known

Application Number	09/761,411
Filing Date	January 16, 2001
First Named Inventor	Mai-lan Tomsen
Group Art Unit	
Examiner Name	
Attorney Docket Number	4000.2.11

TOTAL AMOUNT OF PAYMENT	1560
-------------------------	------

FEE CALCULATION (continued)

- ### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		

105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	

139	130	139	130	Non-English specification
147	2520	147	2520	For filing a request for reexamination

112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1840*	113	1840*	Requesting publication of SIR after Examiner action

1. FILING FEE

115	110	215	55	Extension for reply within first month	
-----	-----	-----	----	--	--

113	113	213	33	Extension for reply, minimum 1 month	
113	223	213	125	Extension for reply, within second month	

116	390	216	195	Extension for reply within second month	
-----	-----	-----	-----	---	--

117	890	217	445	Extension for reply within third month	
-----	-----	-----	-----	--	--

118	1390	218	695	Extension for reply within fourth month	
-----	------	-----	-----	---	--

128	1890	228	945	Extension for reply within fifth month	
-----	------	-----	-----	--	--

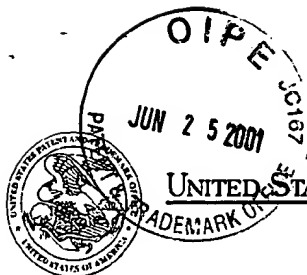
SUBTOTAL (3)	130
---------------------	------------

Complete (if applicable)

Reg. Number	43,548
-------------	--------

Deposit Account	
User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/761,411	01/16/2001	Mai-lan Tomsen	4000.2.11

CONFIRMATION NO. 4790

FORMALITIES LETTER



OC000000006030123

21552
MADSON & METCALF
GATEWAY TOWER WEST
SUITE 900
15 WEST SOUTH TEMPLE
SALT LAKE CITY, UT 84101

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$720.
 ▪ \$720 for 40 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1560.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/27/2001 KZWDIE 00000094 09761411
710.00 OP
720.00 OP
130.00 OP
01 FC:101
02 FC:103
03 FC:105

THIS PAGE BLANK (USPTO)